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 APPL NO.
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 TOT CLMS
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 10/628.119
 07/28/2003
 3762
 375
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**CONFIRMATION NO. 3072** 

ALLAN LAT BIBS 4 ALL 28822 EL MIO LANE MISSION VIEJO, CA 92692 FILING RECEIPT

\*OC000000011097277\*

Date Mailed: 10/27/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Allan Lat, Mission Viejo, CA;

#### Domestic Priority data as claimed by applicant

This appln claims benefit of 60/404.998 08/21/2002

Foreign Applications

If Required, Foreign Filing License Granted: 10/24/2003

Pr jected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

**Early Publication Request: No** 

\*\* SMALL ENTITY \*\*

Title

Adult disposable plastic bib with a 4" self-opening pocket

**Preliminary Class** 

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A substitute specification [1] the claims is required pursuant to 37 CFR 1.125(a) because [2].

A substitute specification filed under 37 CFR 1.125(a) must only contain subject matter from the original specification and any previously entered amendment under 37 CFR 1.121. If the substitute specification contains additional subject matter not of record, the substitute specification must be filed under 37 CFR 1.125(b) and must be accompanied by:

1) a statement that the substitute specification contains no new matter; and 2) a marked-up copy showing the amendments to be made via the substitute specification relative to the specification at the time the substitute specification is filed.

#### **Examiner Note:**

- 1. In bracket 1, insert either -- excluding-- or -- including--.
- 2. In bracket 2, insert clear and concise examples of why a new specification is required.
- 3. A new specification is required if the number or nature of the amendments render it difficult to consider the application or to arrange the papers for printing or copying, 37 CFR 1.125.
- 4. See also form paragraph 13.01 for partial rewritten specification.
- 5. 37 CFR 1.125(b) provides applicants with the right of entry of substitute specifications, under the conditions set forth in the section, in applications other than reissue applications (37 CFR 1.125(d)) that have not been required by the examiner.<

37 CFR 1.125(b) applies to a substitute specification voluntarily filed by the applicant. A substitute specification, excluding claims, may be voluntarily filed by the applicant at any point up to the payment of the issue fee provided it is accompanied by (1) a statement that the substitute specification includes no new matter, and (2) a marked-up copy of the substitute specification showing the matter being added to and the matter being deleted from the specification of record. 37 CFR 1.125(b). The Office will accept a substitute specification voluntarily filed by the applicant if the requirements of 37 CFR 1.125(b) are satisfied.

37 CFR 1.125(c) requires a substitute specification filed under 37 CFR 1.125(a) or (b) be submitted in clean form



without markings as to amended material.

\*\*

4)

A substitute specification filed under 37 CFR 1.125(b) must be accompanied by a statement indicating that no new matter was included. There is no obligation on the examiner to make a detailed comparison between the old and the new specifications for determining whether or not new matter has been added. If, however, an examiner becomes aware that new matter is present, objection thereto should be made.

The filing of a substitute specification rather than amending the original application has the advantage for applicants of eliminating the need to prepare an amendment of the specification. If word processing equipment is used by applicants, substitute specifications can be easily prepared. The Office receives the advantage of saving the time needed to enter amendments in the specification and a reduction in the number of printing errors. A substitute specification is not permitted in a reissue application or in a reexamination proceeding. 37 CFR 1.125(d).

A substitute specification \*\*>which complies with 37 CFR 1.125 should normally be entered. The examiner should write "Enter" or "OK to Enter" and his or her initials in ink in the left margin of the first page of the substitute specification. A substitute specification which is denied entry should be so marked.

Form Paragraph 6.28.02 may be used to notify applicant that a substitute specification submitted under 37 CFR 1.125(b) has not been entered.

? 6.28.02 Substitute Specification Filed Under 37 CFR 1.125(b) Not Entered.

The substitute specification filed [1] has not been entered because it does not conform to 37 CFR 1.125(b) because: [2]

#### **Examiner Note:**

1. In bracket 2, insert statement of why the substitute specification is improper, for example:

- -- the statement as to a lack of new matter under 37 CFR 1.125(b) is missing--,
- -- a marked-up copy of the substitute specification has not been supplied (in addition to the clean copy)--;
- -- a clean copy of the substitute specification has not been supplied (in addition to the marked-up copy)--; or,
- -- the substitute specification has been filed:
- in a reissue application or in a reexamination proceeding, 37 CFR 1.125(d)-, or
- after payment of the issue fee-, or
- containing claims (to be amended)- --.

See MPEP ? 714.20 regarding entry of amendments which include an unacceptable substitute specification.<

For new matter in amendment, see MPEP ? 608.04.

For application prepared for issue, see MPEP ? 1302.02.

608.01(r) Derogatory Remarks About Prior Art in Specification

The applicant may refer to the general state of the art and the advance thereover made by his or her invention, but he or she is not permitted to make derogatory remarks concerning the inventions of others. Derogatory remarks are statements disparaging the products or processes of any particular person other than the applicant, or statements as to the merits or validity of applications or patents of another person. Mere comparisons with the prior art are not considered to be disparaging, per se.



## ADULT DISPOSABLE PLASTIC BIB WITH A 4" SELF-OPENING POCKET.

### Related U.S. Application Data

Inventor:

**Allan Lat** 

Mission Viejo, CA

Provisional Application No:

60/404,998

Filed:

August 21st, 2002.

Application Confirmation No:

2593

Current U.S. Class:

2/49.2

Intern'l Class:

A41B 013/10

Field of Search:

2/49, 49.1, 49.2, 48, 49.1, 49.3, 49.4, 49.5, 50, 51, 52, 248, 252, 254

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**Pollitt** 

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